

Docket No. 327007US40

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Hanson S. GIFFORD, et al.

SERIAL NO: 10/811,228

GAU: 3739

FILED: March 26, 2004

EXAMINER: M. F. PEFFLEY

FOR: METHODS AND APPARATUS FOR TREATMENT OF PATENT FORAMEN  
OVALE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ Submitted herewith is a Australian Examiner's First Report for the Examiner's consideration. The references cited therein were previously cited by the Examiner on December 10 and 20, 2004.
- ☐ Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☒ Each item of information not previously filed or cited by the Examiner in this application was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Fred L. Braun*

Richard D. Kelly

Registration No. 27,757

**Fred L. Braun**

Registration No. 56,123

W. Todd Baker

Registration No. 45,265

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/08)

/Michael Peffley/

12/01/2010